

Effective field theories for heavy nuclei

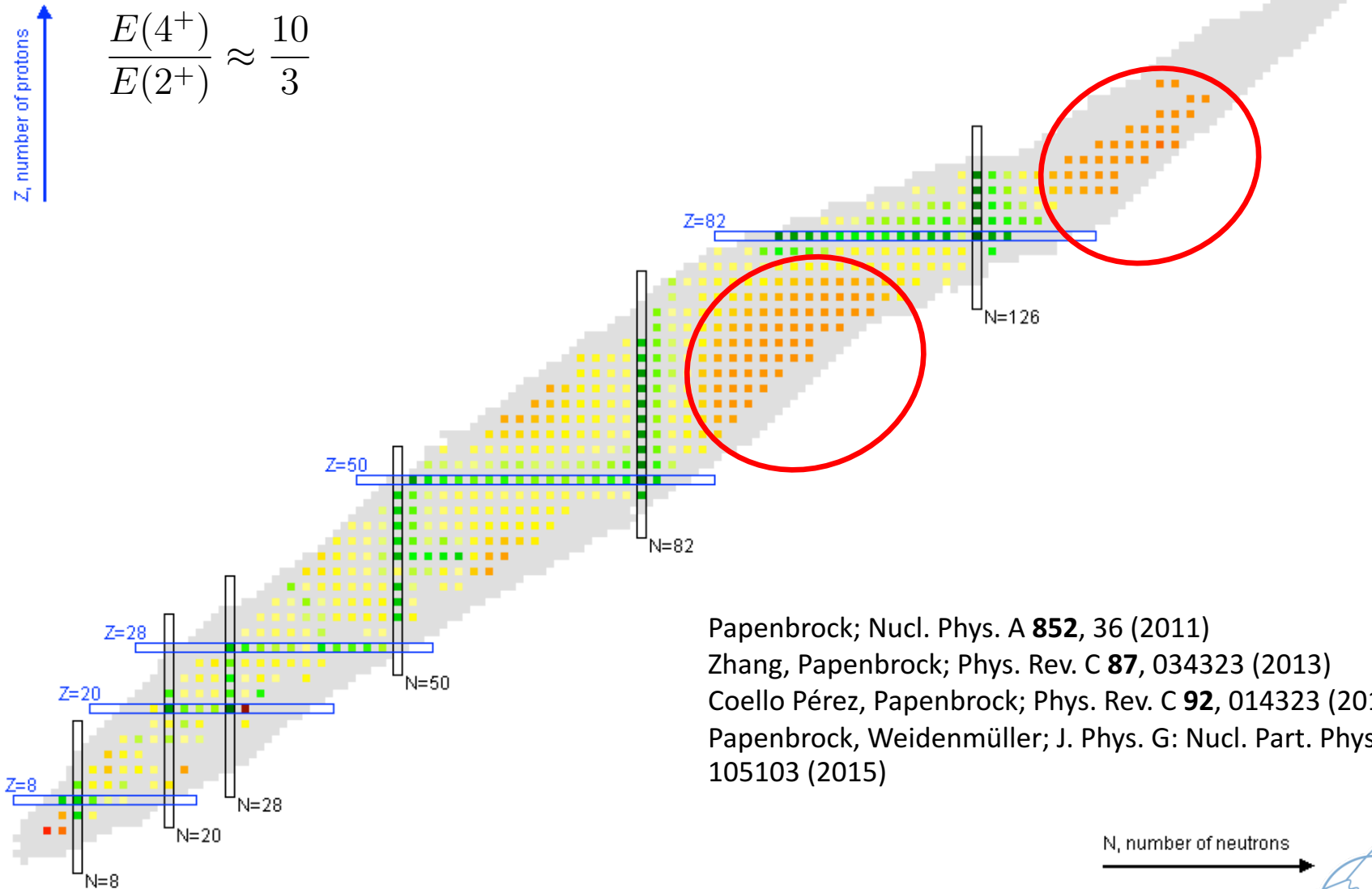
Eduardo Antonio Coello Pérez

Deformed nuclei (even-even nuclei)

- Ground-state rotational band
 - NLO spectra and $B(E2)$ values
- Vibrations
 - LO spectra and $B(E2)$ values

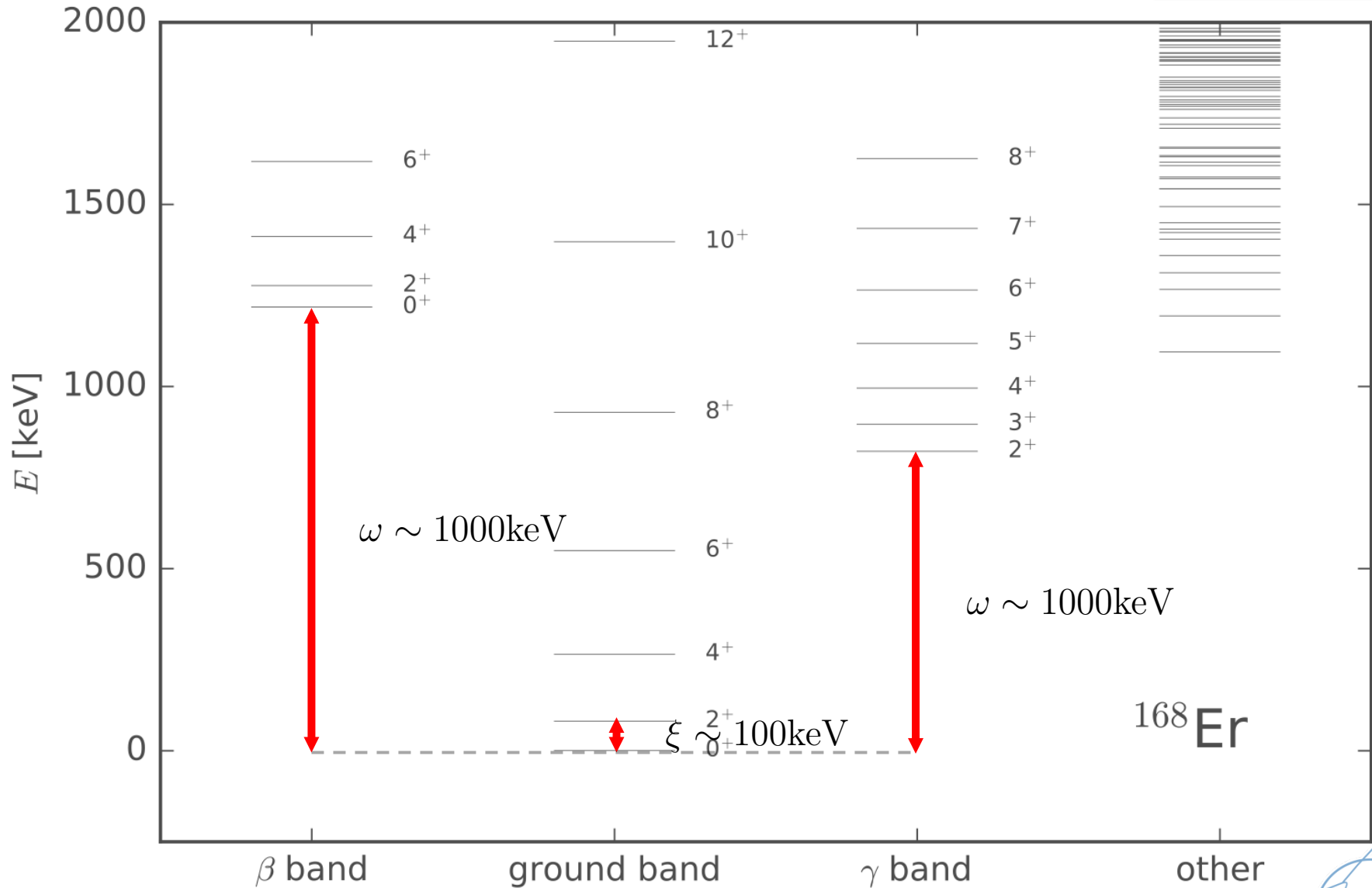
Spherical nuclei (even-even nucleus and odd-mass neighbor)

- Power counting from data
- E2 properties
 - Phonon-annihilating $B(E2)$ values
 - LO relations between observables
- M1 properties
 - Static M1 moments and phonon-conserving transitions
 - Phonon-annihilating $B(M1)$ values



- Papenbrock; Nucl. Phys. A **852**, 36 (2011)
- Zhang, Papenbrock; Phys. Rev. C **87**, 034323 (2013)
- Coello Pérez, Papenbrock; Phys. Rev. C **92**, 014323 (2015)
- Papenbrock, Weidenmüller; J. Phys. G: Nucl. Part. Phys. **42**, 105103 (2015)

Relevant energy scales in even-even nuclei



^{168}Er



The orientation angles θ and ϕ used as degrees of freedom via the building blocks

$$v_{\pm 1} \equiv \mp \sqrt{\frac{1}{2}} \left(\dot{\theta} \pm i \dot{\phi} \sin \theta \right)$$

Under an SO(3) rotation

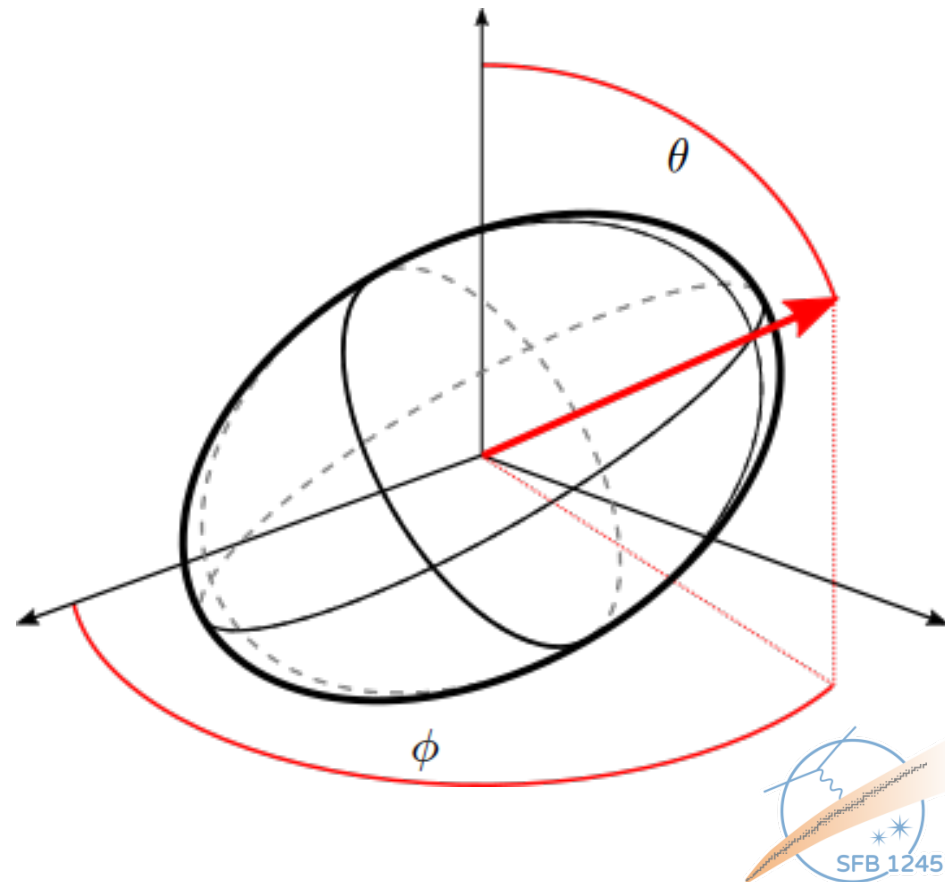
$$v_{\pm 1} \rightarrow e^{\pm i \tilde{\gamma}} v_{\pm 1}$$

Quantizing the Legendre transformation of the most simple rotationally-invariant Lagrangian yields

$$\hat{H}_{\text{LO}} = \frac{1}{2C_0} \hat{I}^2$$

For a rigid rotor it is expected that

$$C_0 = \frac{3}{\xi}$$



Higher-order corrections yield a Hamiltonian equivalent to that of the variable moment of inertia model*. The NLO Hamiltonian

$$\hat{H}_{\text{NLO}} = \hat{H}_{\text{LO}} - \frac{C_2}{4C_0^4} \hat{I}^4$$

It is naively expected that

$$C_2 \sim \frac{C_0}{\omega^2}$$

At the breakdown scale

$$\frac{\langle \Delta \hat{H}_{\text{NLO}} \rangle}{\langle \hat{H}_{\text{LO}} \rangle} \sim \frac{C_2}{C_0^3} I_b^2 \sim \left(\frac{\xi}{\omega} \right)^2 I_b^2 \sim 1$$

Thus

$$I_b \sim \sqrt{\frac{C_0^3}{C_2}} \sim \frac{\omega}{\xi}$$

LO and NLO LECs compared against their naive estimates

System	$C_0 \xi$	$\frac{C_2}{C_0} \omega^2$	$(\xi/\omega)^2$	C_2/C_0^3
N_2	3.00	2.1	0.000 026	0.000 006
H_2	2.99	2.2	0.0062	0.0015
^{236}U	2.99	2.3	0.0043	0.0011
^{174}Yb	2.99	3.4	0.0026	0.0010
^{168}Er	2.99	1.0	0.0094	0.0010
^{166}Er	2.98	1.6	0.011	0.0020
^{162}Dy	2.98	1.9	0.0083	0.0017
^{154}Sm	2.97	5.2	0.0056	0.0033
^{188}Os	2.91	1.5	0.06	0.012
^{154}Gd	2.88	3.3	0.033	0.013
^{152}Sm	2.88	3.5	0.032	0.013
^{150}Nd	2.85	3.6	0.037	0.017

*Mariscotti, et al.; Phys. Rev. **178**, 1864 (1969)

Coupling between the building blocks and an electric field yield the E2 operator

The NLO B(E2) values for decays within the ground-state band are

$$B(E2)_{\text{NLO}} = Q_0^2 \left(C_{I_i 020}^{I_f 0} \right)^2 \left[1 + \frac{b}{a} I_i (I_i + 1) \right]$$

where

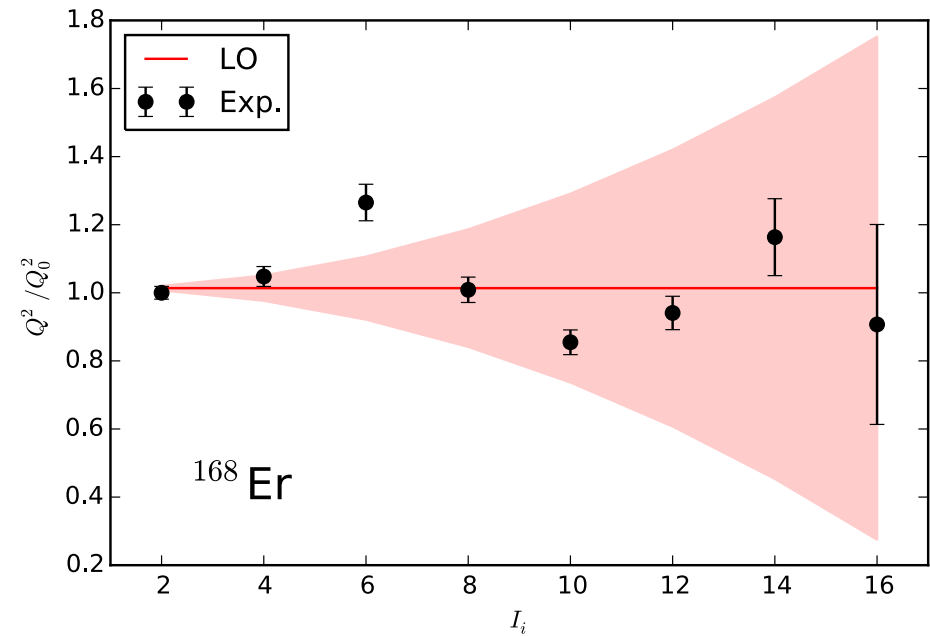
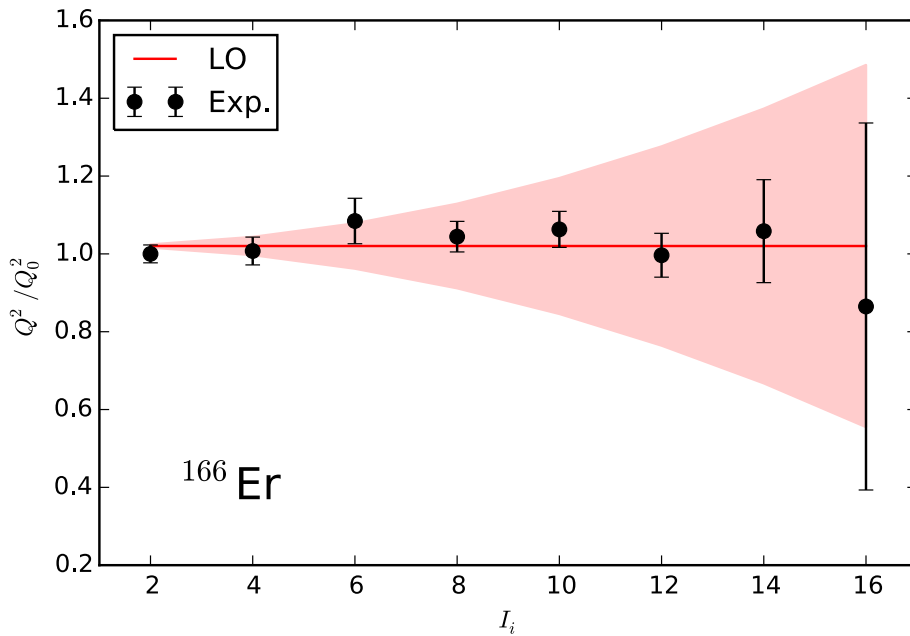
$$I_f = I_i - 2$$

It is naively expected that

$$\frac{b}{a} \sim \left(\frac{\xi}{\omega} \right)^2 \sim \frac{C_2}{C_0^3}$$

NLO LEC for B(E2) values compared against its naive estimate

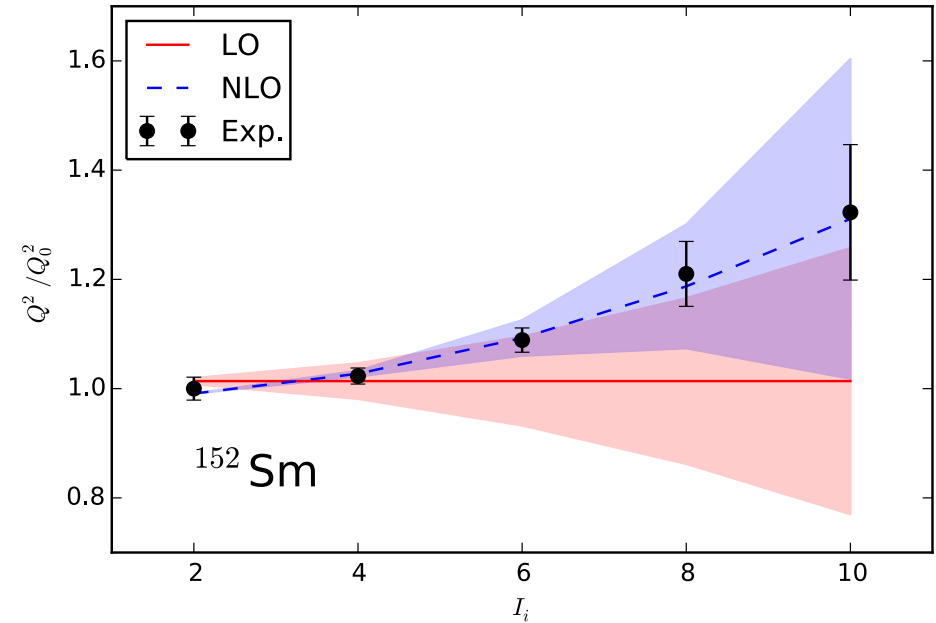
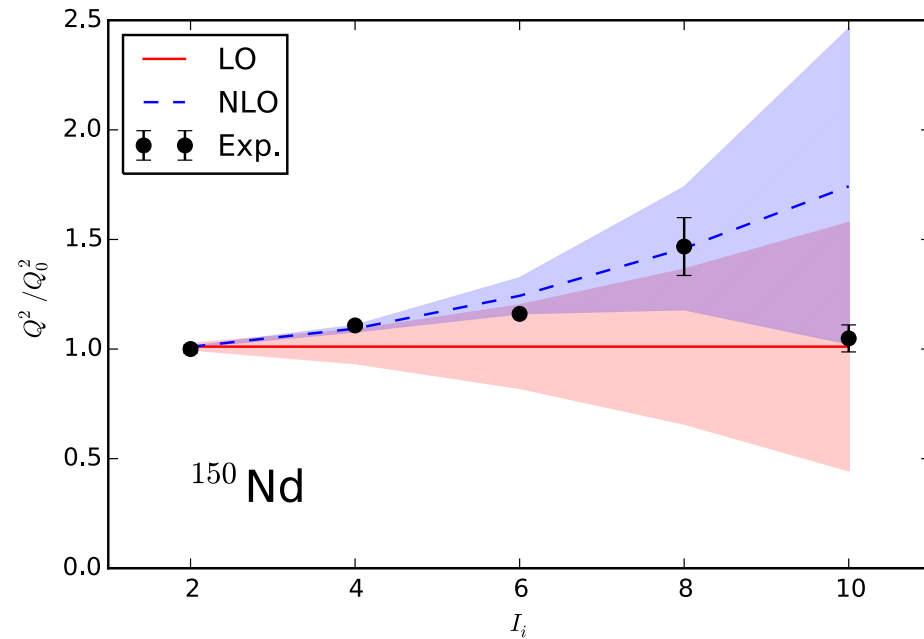
System	C_2/C_0^3	b/a
N_2	0.000 006	-0.000 011
H_2	0.0015	0.0022
^{236}U	0.0011	—
^{174}Yb	0.0010	—
^{168}Er	0.0010	—
^{166}Er	0.0020	—
^{162}Dy	0.0017	—
^{154}Sm	0.0033	—
^{188}Os	0.012	0.008
^{154}Gd	0.013	0.006
^{152}Sm	0.013	0.003
^{150}Nd	0.017	0.011



E2 transition moments given by

$$Q^2 \equiv \frac{B(E2)_{\text{NLO}}}{\left(C_{I_i 020}^{I_f 0}\right)^2}$$

The LO description of decays within the ground-state band agrees with experimental data below the breakdown spin



E2 transition moments given by

$$Q^2 \equiv \frac{B(E2)_{\text{NLO}}}{\left(C_{I_i 0 2 0}^{I_f 0}\right)^2}$$

NLO corrections are required to describe decays within the ground-state band below the breakdown spin

The vibrations are described in terms of a quadrupole field. The building blocks are

$$\Psi_0 = \zeta + \psi_0 \quad \Psi_{\pm 2} = \psi_2 e^{\pm i 2 \gamma}$$

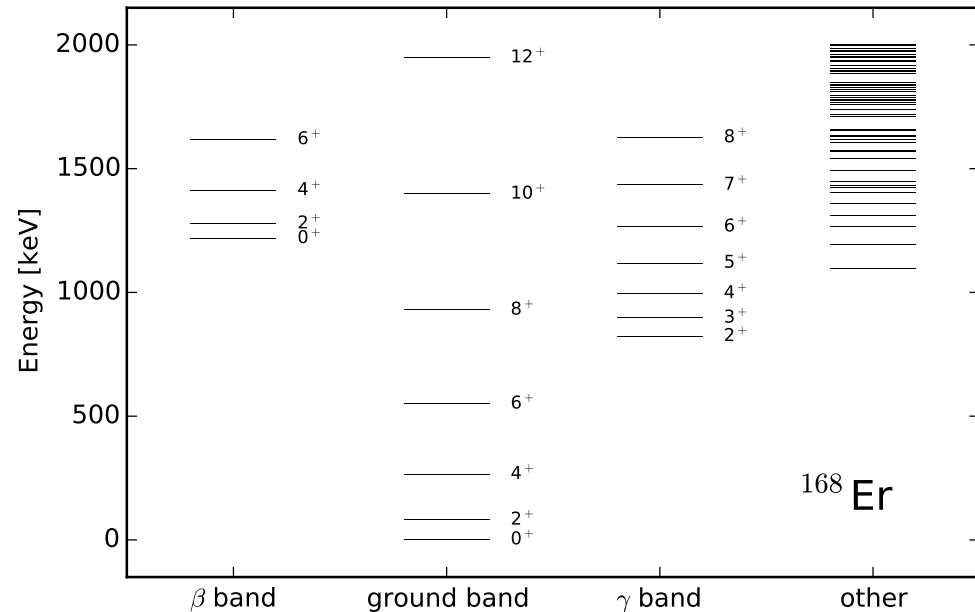
Under SO(3) rotations

$$\Psi_0 \rightarrow \Psi_0 \quad \Psi_{\pm 2} \rightarrow e^{\pm i 2 \tilde{\gamma}} \Psi_{\pm 2}$$

The NLO Hamiltonian and spectrum are

$$\hat{H}_{\text{NLO}} = \frac{\hat{p}_0^2}{2} + \frac{\omega_0^2}{2} \psi_0^2 + \frac{\hat{p}_2^2}{4} + \frac{1}{4\psi_2^2} \left(\frac{\hat{p}_\gamma}{2} \right)^2 + \frac{\omega_2}{4} \psi_2^2 + \frac{1}{2C_0} \left(\hat{I}^2 - \hat{p}_\gamma^2 \right)$$

$$E_{\text{NLO}}(n_0, n_2, I, K) = \omega_0 n_0 + \frac{\omega_2}{2} \left(2n_2 + \frac{K}{2} \right) + \frac{I(I+1) - K^2}{2C_0}$$



Coupling between the building blocks and an electric field yield the E2 operator

The LO B(E2) values for interband decays are

$$B(E2, i_\beta \rightarrow f_g) = \frac{C_\beta^2}{2C_0^2\omega_0} \frac{q^2}{60} \left(C_{I_i 0 2 0}^{I_f 0} \right)^2$$

$$B(E2, i_\gamma \rightarrow f_g) = \frac{3C_\gamma^2}{2C_0^2\omega_2} \frac{q^2}{60} \left(C_{I_i 2 2 - 2}^{I_f 0} \right)^2$$

It is naively expected that

$$C_\beta \sim C_\gamma \sim \xi^{-1/2}$$

For ^{154}Sm

$$\xi^{-1/2} \approx 0.110 \text{ keV}^{-1/2}$$

$$C_\beta \approx 0.092 \text{ keV}^{-1/2} \quad C_\gamma \approx 0.181 \text{ keV}^{-1/2}$$

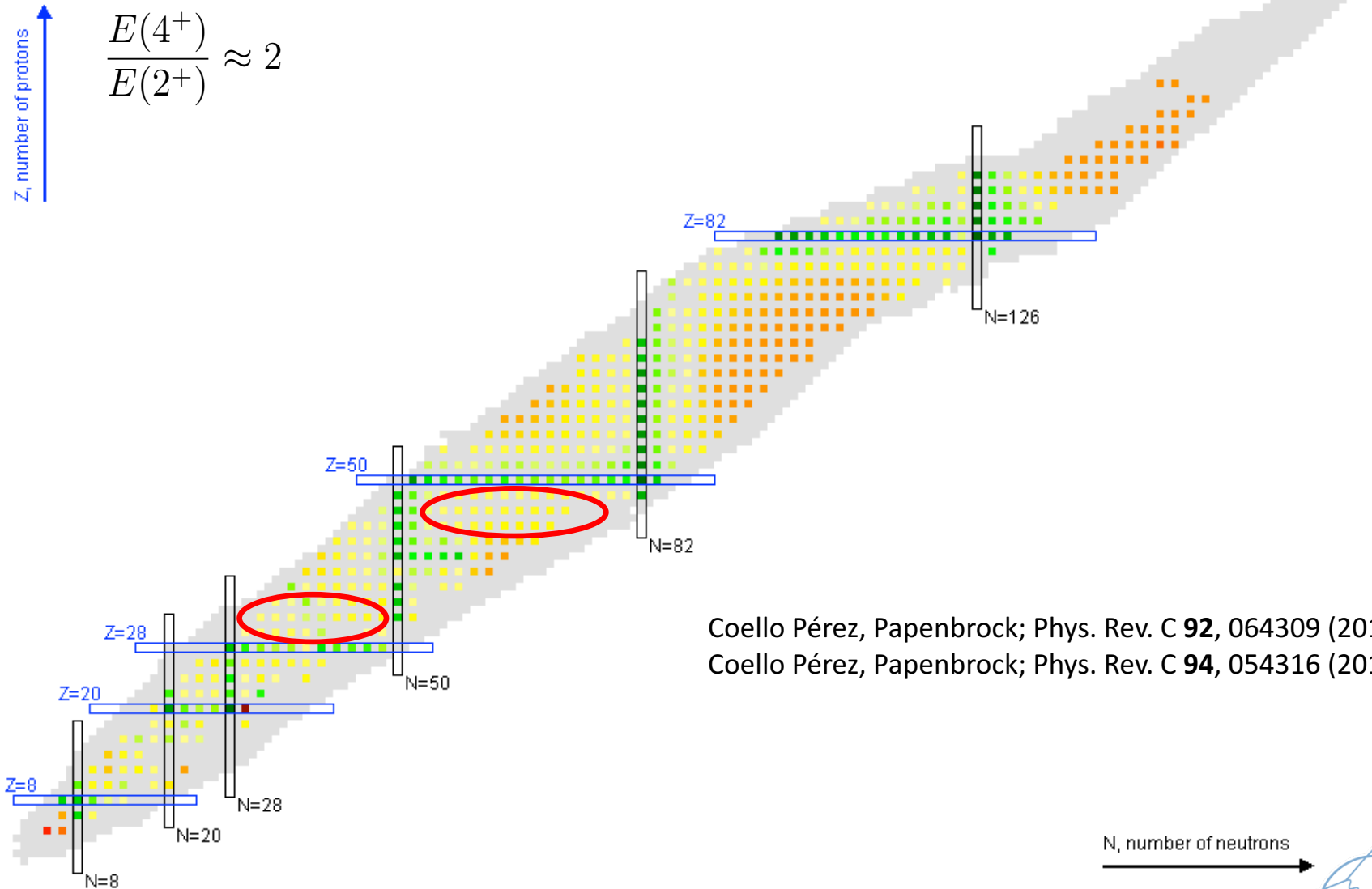
LO B(E2) values for interband decays in ^{154}Sm [e^2b^2].

$i \rightarrow f$	$B(E2)_{\text{exp}}$	$B(E2)_{\text{ET}}$
$2_g^+ \rightarrow 0_g^+$	0.863(5)	0.863 ^a
$4_g^+ \rightarrow 2_g^+$	1.201(29)	1.233(9)
$6_g^+ \rightarrow 4_g^+$	1.417(39)	1.358(23)
$8_g^+ \rightarrow 6_g^+$	1.564(83)	1.421(43)
$2_\gamma^+ \rightarrow 0_g^+$	0.0093(10)	0.0110(28)
$2_\gamma^+ \rightarrow 2_g^+$	0.0157(15)	0.0157 ^a
$2_\gamma^+ \rightarrow 4_g^+$	0.0018(2)	0.0008(2)
$2_\beta^+ \rightarrow 0_g^+$	0.0016(2)	0.0025(6)
$2_\beta^+ \rightarrow 2_g^+$	0.0035(4)	0.0035 ^a
$2_\beta^+ \rightarrow 4_g^+$	0.0065(7)	0.0063(16)

- a) Values employed to fix the LECs
b) Experimental values from *

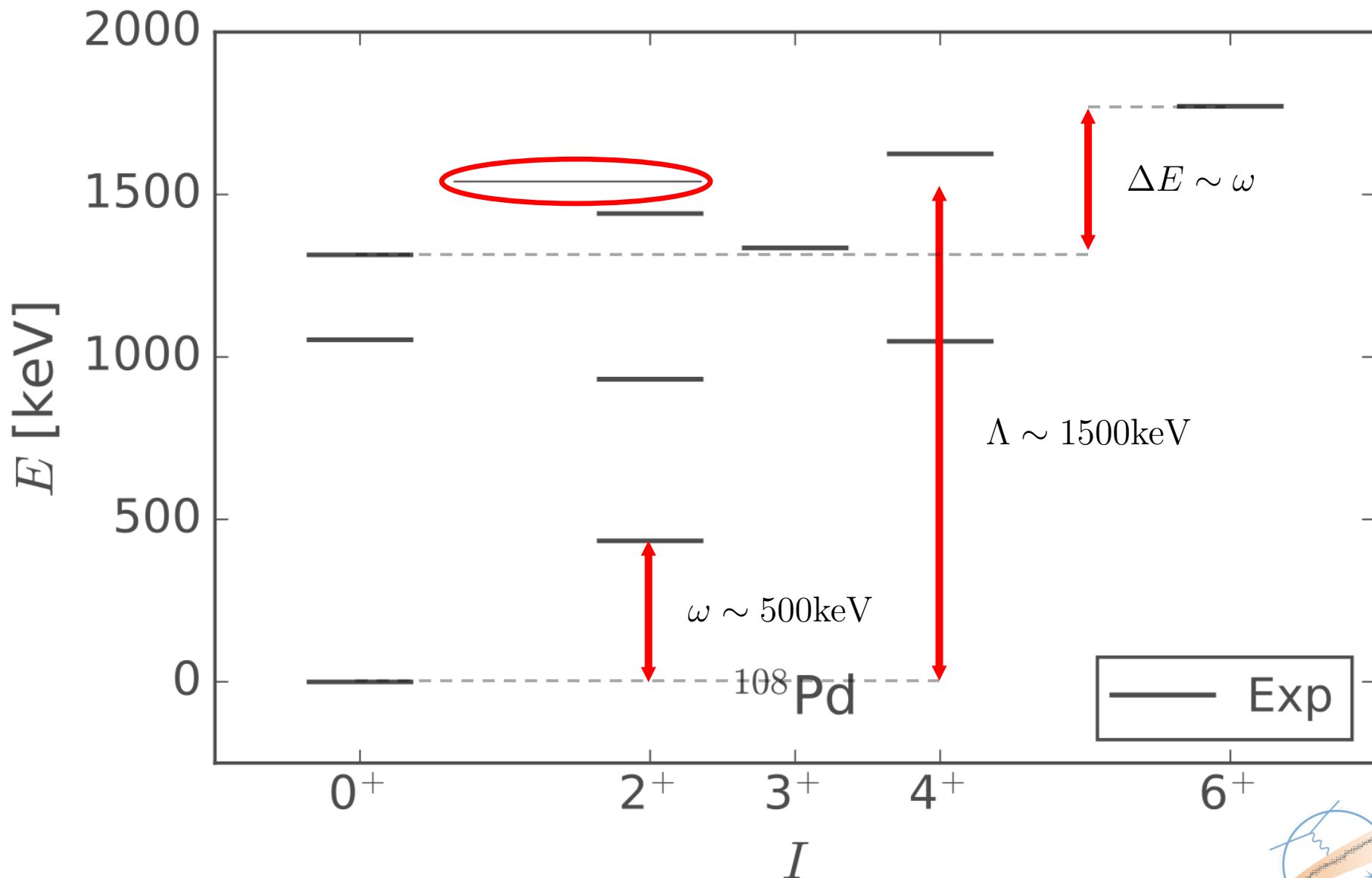
*Reich; Nuclear Data Sheets **110**, 2257 (2009)

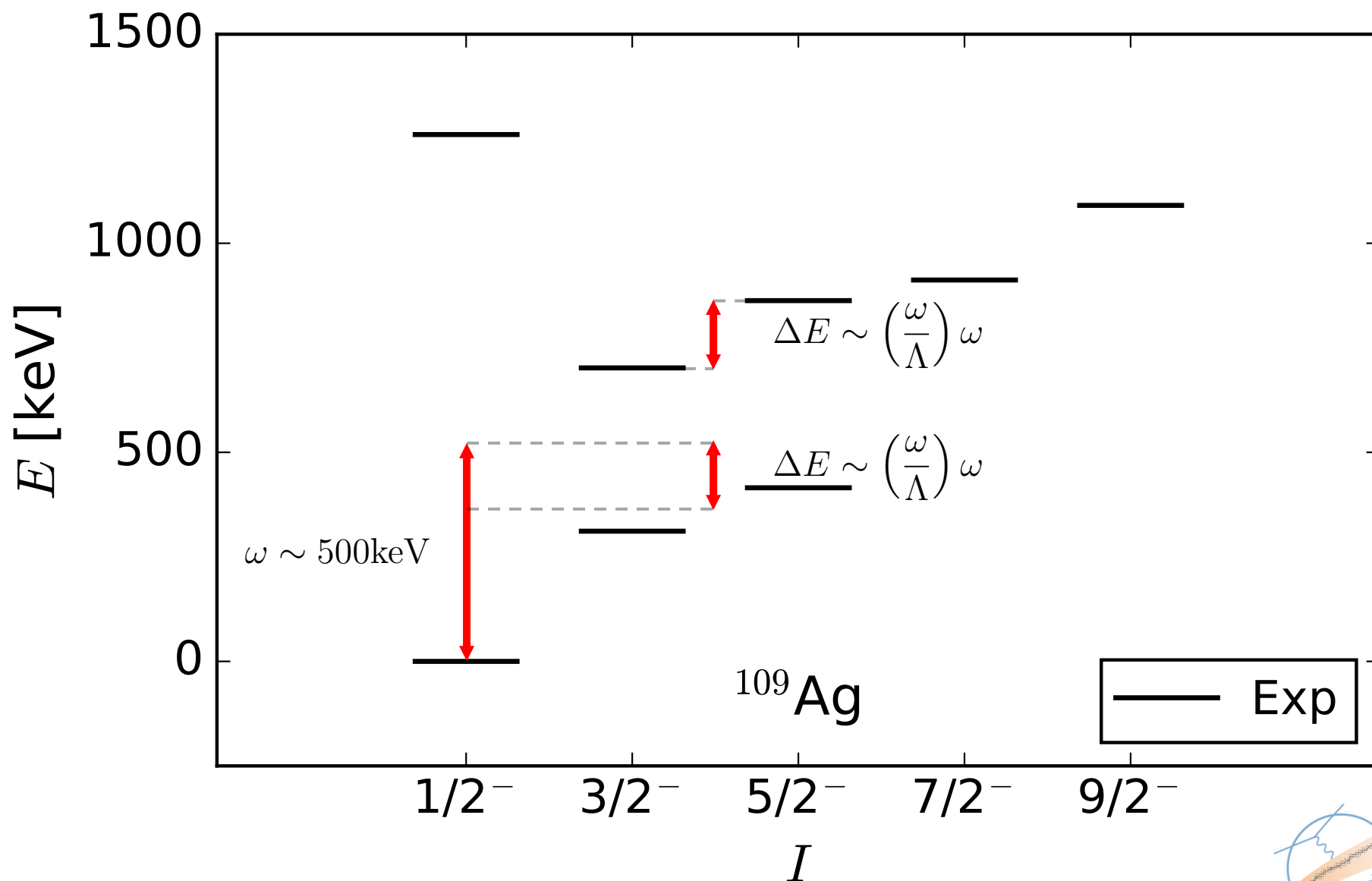
*Möller et al.; Phys. Rev. C **86**, 031305 (2012)



Coello Pérez, Papenbrock; Phys. Rev. C **92**, 064309 (2015)

Coello Pérez, Papenbrock; Phys. Rev. C **94**, 054316 (2016)





The Hamiltonian is constructed in terms of boson quadrupole operators

$$[d_\mu, d_\nu^\dagger] = \delta_{\mu\nu}$$

and fermion operators

$$\{a_\mu, a_\nu^\dagger\} = \delta_{\mu\nu}$$

The later create and annihilate a fermion in a $j^\pi = 1/2^-$ orbital

The suggested power counting based on the energy scales spherical nuclei leads to a NLO Hamiltonian with the following contributions

$$H_{\text{LO}} \equiv \omega_1 \hat{N}$$

$$H_{\text{NLO}} \equiv g_{Jj} \hat{\mathbf{J}} \cdot \hat{\mathbf{j}} + \omega_2 \hat{N} \hat{n}$$

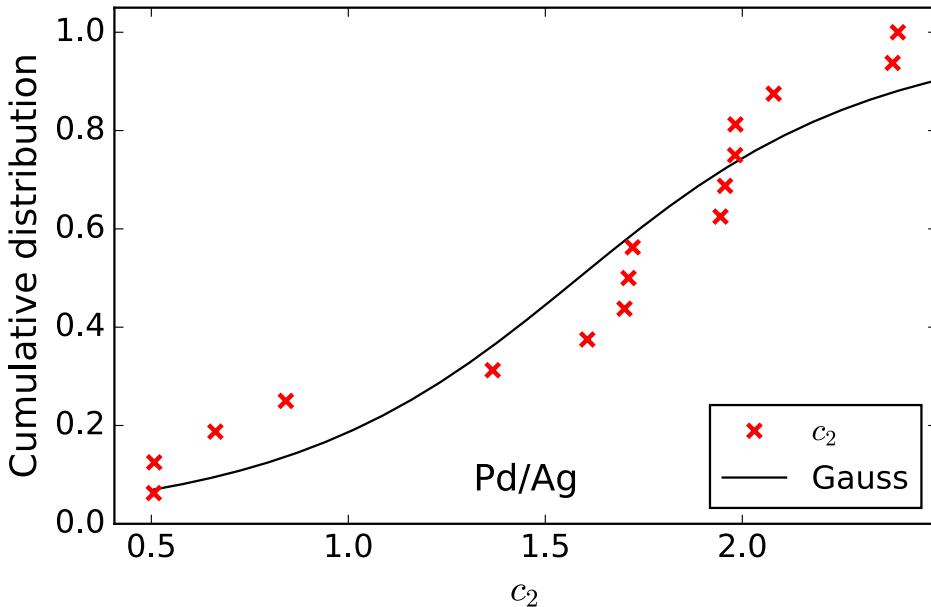
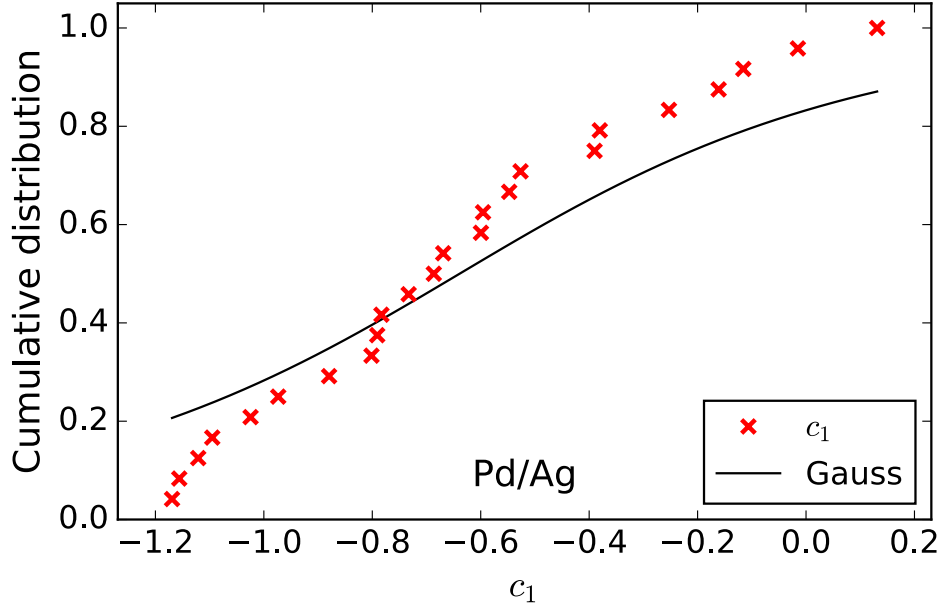
$$H_{\text{NNLO}} \equiv g_N \hat{N}^2 + g_v \hat{\Lambda}^2 + g_J \hat{J}^2$$

where

$$\hat{N} \equiv d^\dagger \cdot \tilde{d} \quad \hat{n} \equiv a^\dagger \cdot \tilde{a}$$

$$\hat{\mathbf{J}} = \sqrt{10} (d^\dagger \otimes \tilde{d})^{(1)} \quad \hat{\mathbf{j}} = \frac{1}{\sqrt{2}} (a^\dagger \otimes \tilde{a})^{(1)}$$

$$\hat{\Lambda}^2 \equiv - (d^\dagger \cdot d^\dagger) (\tilde{d} \cdot \tilde{d}) + \hat{N}^2 - 3\hat{N}$$



Observables can be written as expansions in powers of a small parameter

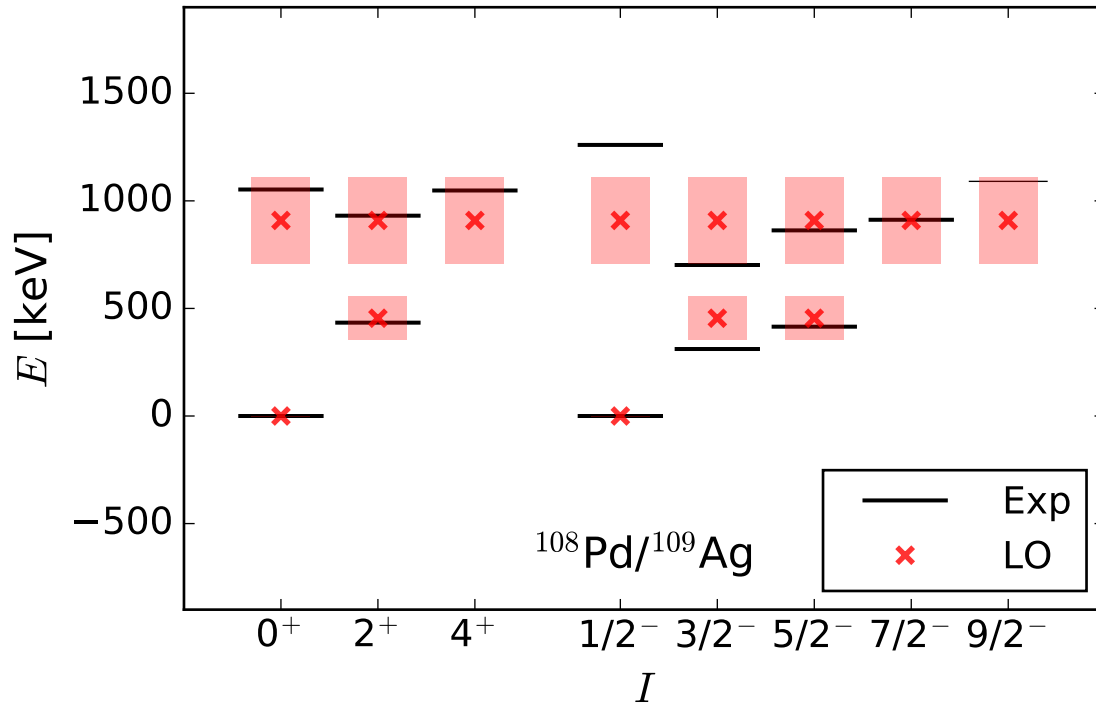
$$E(I^\pi) = \omega_1 \sum_i c_i (I^\pi) \varepsilon^i \quad \varepsilon \equiv N \frac{\omega_1}{\Lambda}$$

The expansion coefficients are expected to be of order one. This expectation can be encoded into the following priors*

$$\text{pr}^{(G)}(\tilde{c}_i | c) = \frac{1}{\sqrt{2\pi s c}} e^{-\frac{\tilde{c}_i^2}{2s^2 c^2}}$$

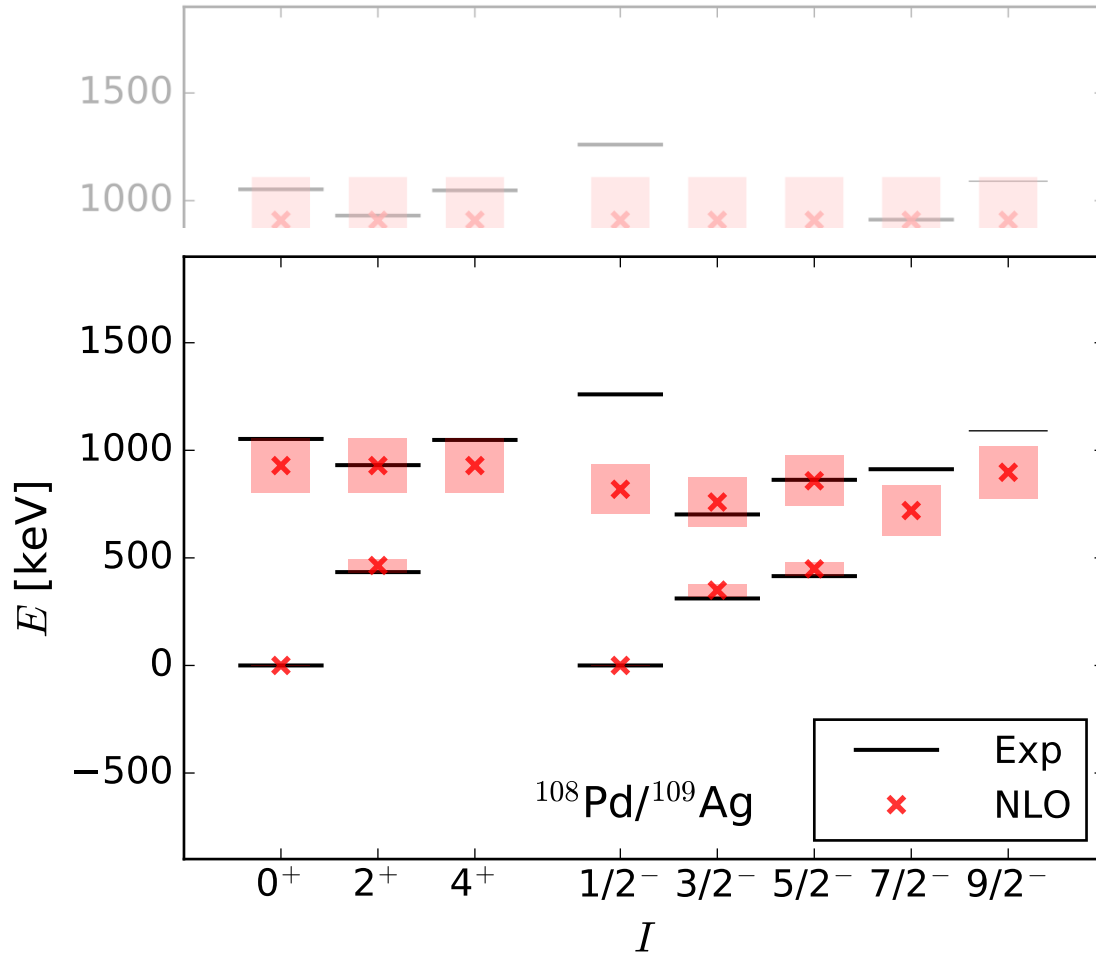
$$\text{pr}(c) = \frac{1}{\sqrt{2\pi\sigma c}} e^{-\frac{\log^2 c}{2\sigma^2}}$$

The cumulative distribution of the expansion coefficients agrees with the suggested power counting



LO:

- One LEC
- Harmonic behavior

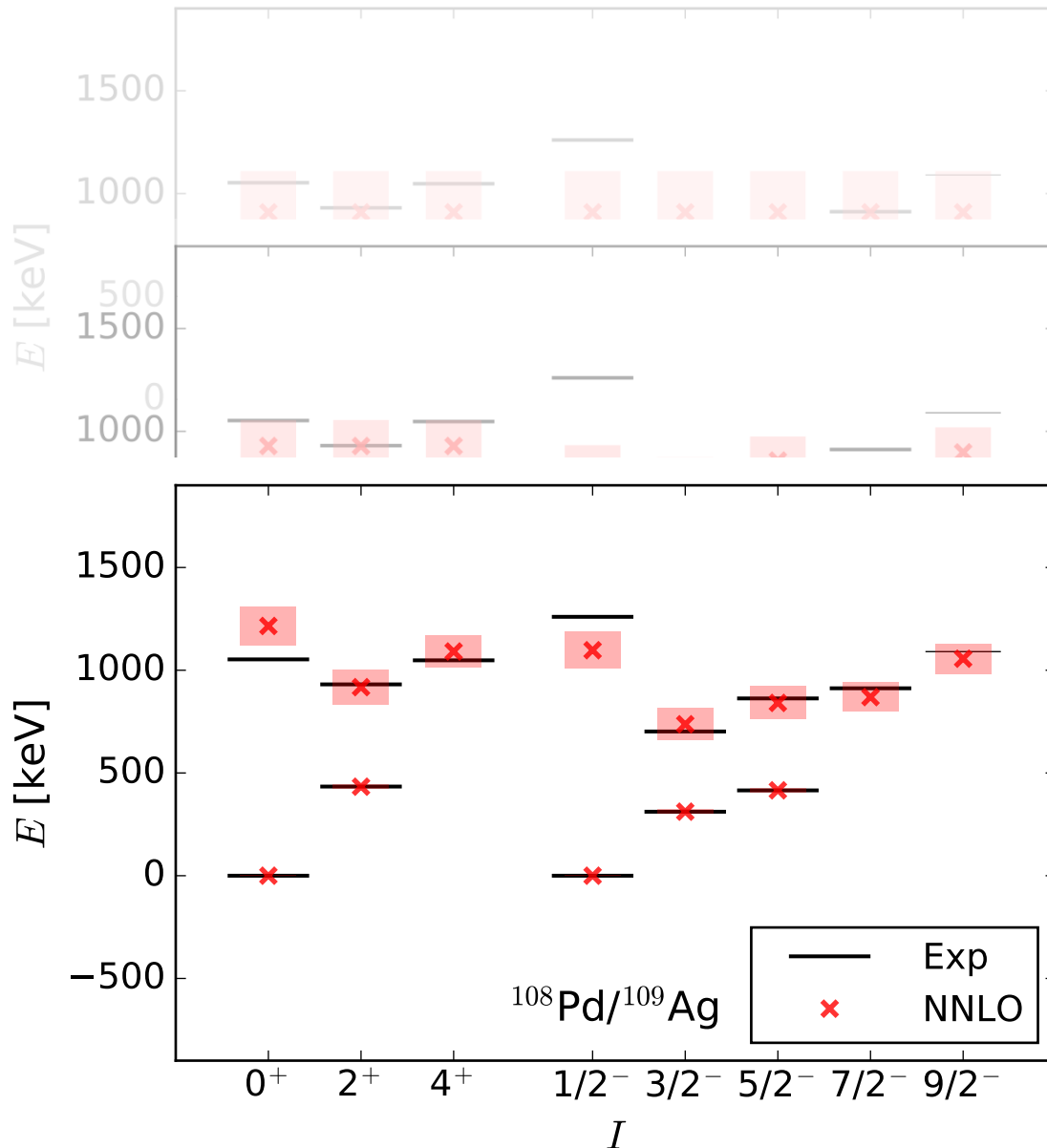


LO:

- One LEC
- Harmonic behavior

NLO:

- Two additional LECs
- Particle-core interactions



LO:

- One LEC
- Harmonic behavior

NLO:

- Two additional LECs
- Particle-core interactions

NNLO:

- Three additional LECs
- Anharmonic corrections

Accuracy and precision increases order by order at the expense of reduced predictive power

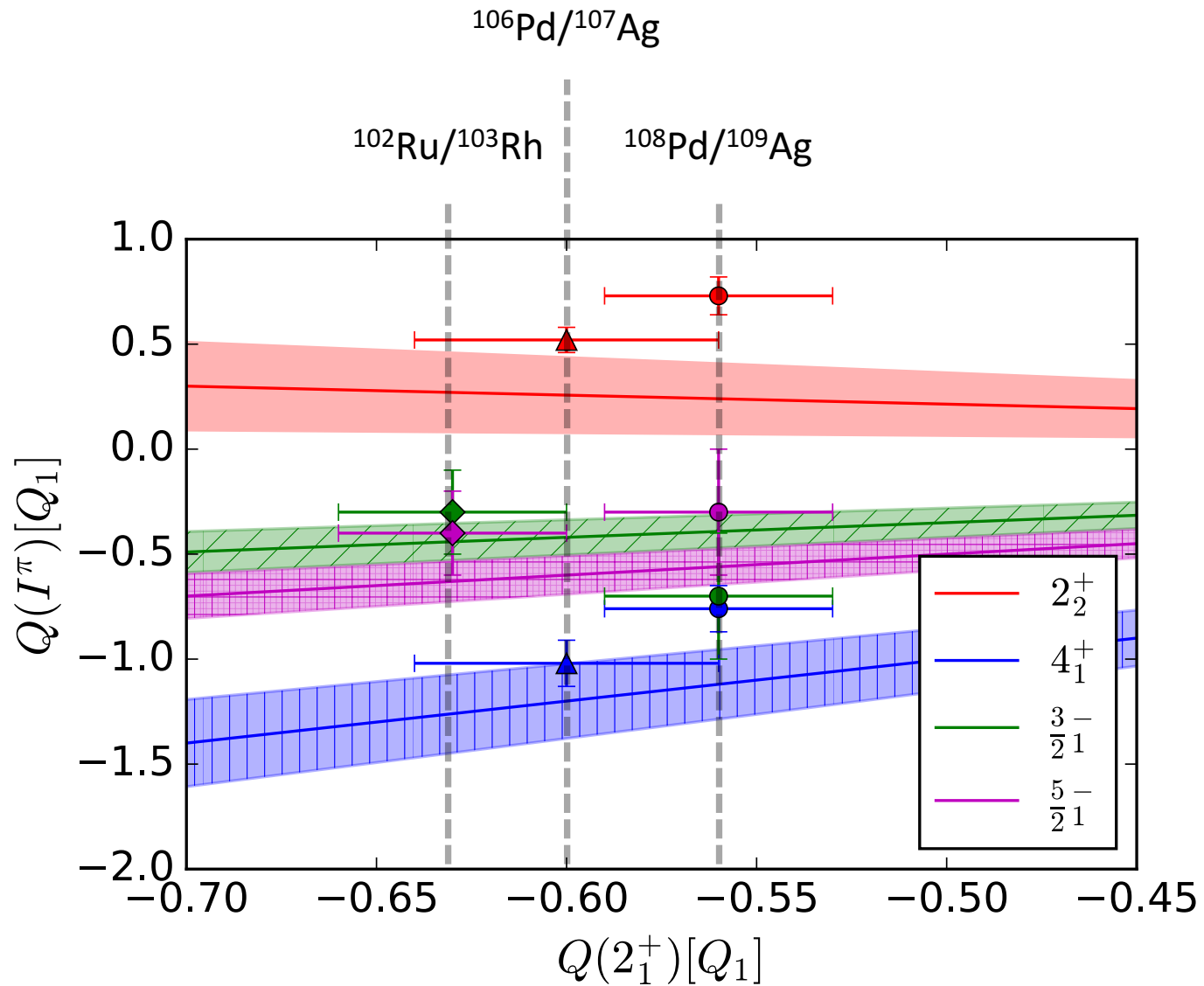
LO B(E2) values for phonon-annihilating transitions in the $^{108}\text{Pd}/^{109}\text{Ag}$ system [W. u.]

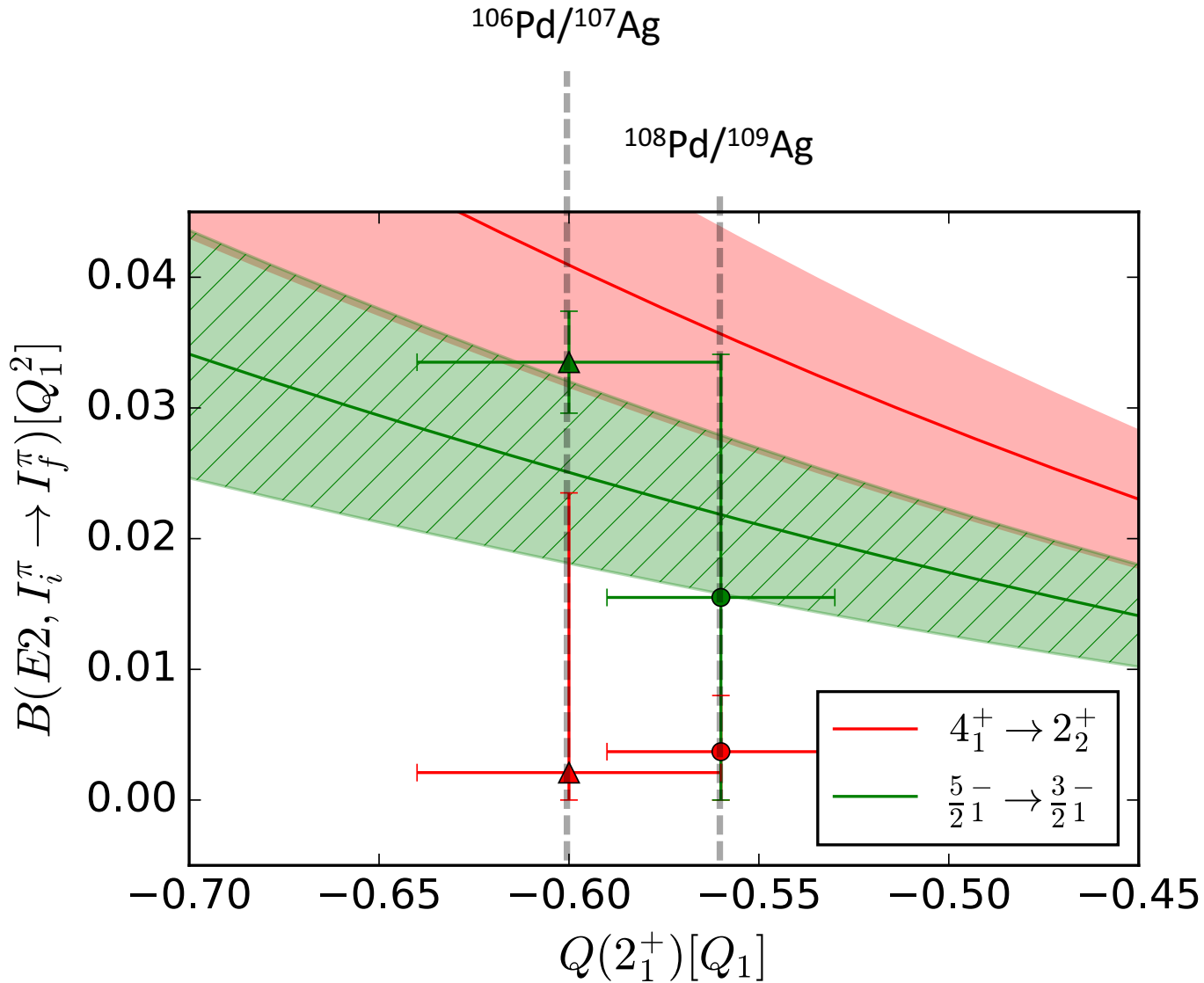
Nucleus	$I_i^\pi \rightarrow I_f^\pi$	$B(E2)_{\text{exp}}$	$B(E2)_{\text{EFT}}$
^{108}Pd	$2_1^+ \rightarrow 0_1^+$	49(1)	34(11)
	$0_2^+ \rightarrow 2_1^+$	52(5)	69(23)
	$2_2^+ \rightarrow 2_1^+$	71(5)	69(23)
	$4_1^+ \rightarrow 2_1^+$	73(8)	69(23)
^{109}Ag	$\frac{3}{2}_1^- \rightarrow \frac{1}{2}_1^-$	40(40)	34(11)
	$\frac{5}{2}_1^- \rightarrow \frac{1}{2}_1^-$	41(6)	34(11)
	$\frac{1}{2}_2^- \rightarrow \frac{3}{2}_1^-$		27(23)
	$\frac{1}{2}_2^- \rightarrow \frac{5}{2}_1^-$		41(23)
	$\frac{3}{2}_2^- \rightarrow \frac{3}{2}_1^-$	49(24)	47(23)
	$\frac{3}{2}_2^- \rightarrow \frac{5}{2}_1^-$		20(23)
	$\frac{5}{2}_2^- \rightarrow \frac{3}{2}_1^-$	8(4)	14(23)
	$\frac{5}{2}_2^- \rightarrow \frac{5}{2}_1^-$	10(7)	54(23)
	$\frac{7}{2}_1^- \rightarrow \frac{3}{2}_1^-$		61(23)
	$\frac{7}{2}_1^- \rightarrow \frac{5}{2}_1^-$		7(23)
	$\frac{9}{2}_1^- \rightarrow \frac{5}{2}_1^-$		68(23)

The E2 operator is constructed as the most general rank-two with positive parity

The LO B(E2) values for phonon-annihilating transitions are described in terms of one LEC

The NLO term of the E2 operator couples states with the same number of phonons. Its matrix elements enter the description of E2 static moments and B(E2) values for phonon-conserving transitions





LO M1 static moment in
Pd/Ag systems [μ_N]

Nucleus	I_i^π	$\mu_{\text{exp}}(I_i^\pi)$	$\mu_{\text{EFT}}(I_i^\pi)$
^{106}Pd	2_1^+	0.79(2)*	0.79(5)
	2_2^+	0.71(10)	0.79(10)
	4_1^+	1.8(4)	1.58(8)
^{107}Ag	$\frac{1}{2}_1^-$	-0.11*	-0.11
	$\frac{3}{2}_1^-$	0.98(9)	0.78(5)
	$\frac{5}{2}_1^-$	1.02(9)	0.68(4)
	$\frac{7}{2}_1^-$		1.6(1)
	$\frac{9}{2}_1^-$		1.5(1)
^{108}Pd	2_1^+	0.71(2)*	0.71(4)
	2_2^+		0.71(9)
	4_1^+		1.42(7)
^{109}Ag	$\frac{1}{2}_1^-$	-0.13*	-0.13
	$\frac{3}{2}_1^-$	1.10(10)	0.72(5)
	$\frac{5}{2}_1^-$	0.85(8)	0.58(4)
	$\frac{7}{2}_1^-$		1.5(1)
	$\frac{9}{2}_1^-$		1.3(1)

 LO B(M1) values for phonon-conserving
transitions in Ag nuclei [W. u.]

Nucleus	$I_i^\pi \rightarrow I_f^\pi$	$B(M1)_{\text{exp}}$	$B(M1)_{\text{EFT}}$
^{107}Ag	$\frac{5}{2}_1^- \rightarrow \frac{3}{2}_1^-$	0.033(4)	0.036(2)
	$\frac{5}{2}_2^- \rightarrow \frac{3}{2}_2^-$		0.036(4)
	$\frac{9}{2}_1^- \rightarrow \frac{7}{2}_1^-$		0.040(2)
^{109}Ag	$\frac{5}{2}_1^- \rightarrow \frac{3}{2}_1^-$	0.043(7)	0.036(2)
	$\frac{5}{2}_2^- \rightarrow \frac{3}{2}_2^-$		0.036(3)
	$\frac{9}{2}_1^- \rightarrow \frac{7}{2}_1^-$		0.040(2)

These observables are described in terms of two LECs fixed by the static moments of low-lying states

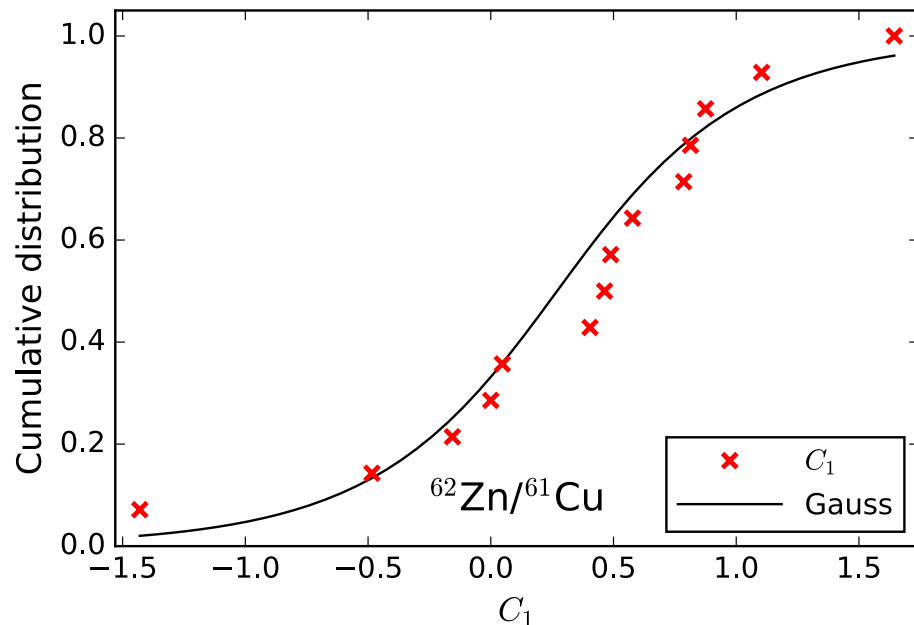
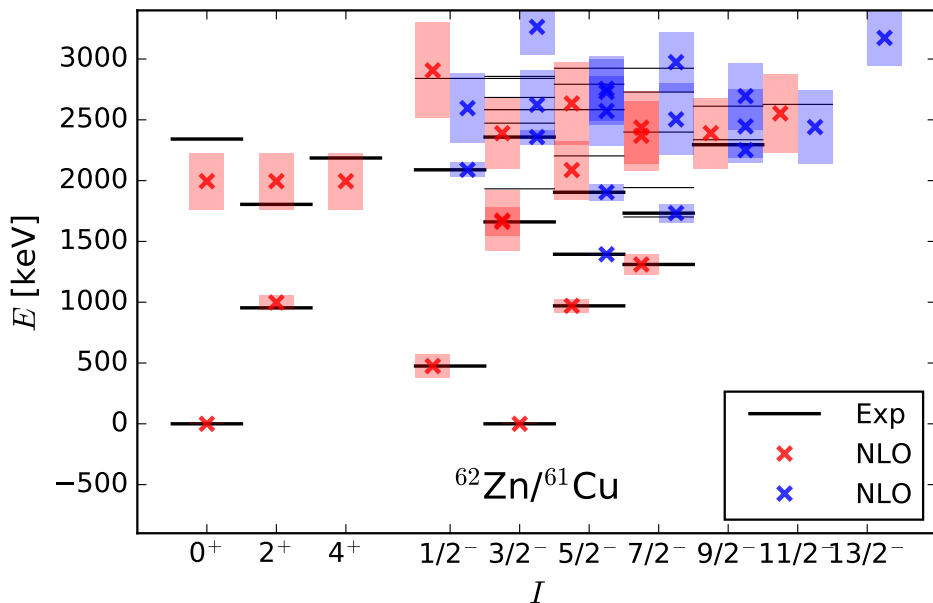
B(M1) values for phonon-conserving transitions are predictions within the EFT

LO B(M1) values for phonon-conserving transitions in odd-mass nuclei [W. u.]

Nucleus	$I_i^\pi \rightarrow I_f^\pi$	$B(M1)_{\text{exp}}$	$B(M1)_{\text{EFT}}$
^{103}Rh	$\frac{3}{2}^- \rightarrow \frac{1}{2}^-$	0.12(1)	0.10(2)
	$\frac{1}{2}^- \rightarrow \frac{3}{2}^-$		0.08(8)
	$\frac{3}{2}^- \rightarrow \frac{3}{2}^-$		0.10(4)
	$\frac{3}{2}^- \rightarrow \frac{5}{2}^-$		0.03(4)
	$\frac{5}{2}^- \rightarrow \frac{3}{2}^-$	0.014(2)	0.018(28)
	$\frac{5}{2}^- \rightarrow \frac{5}{2}^-$	0.020(3)	0.023(28)
	$\frac{7}{2}^- \rightarrow \frac{5}{2}^-$		0.17(2)
	$\frac{7}{2}^- \rightarrow \frac{7}{2}^-$		
^{109}Ag	$\frac{3}{2}^- \rightarrow \frac{1}{2}^-$	0.117(15)	0.122(27)
	$\frac{1}{2}^- \rightarrow \frac{3}{2}^-$		0.10(11)
	$\frac{3}{2}^- \rightarrow \frac{3}{2}^-$	0.16(7)	0.07(5)
	$\frac{3}{2}^- \rightarrow \frac{5}{2}^-$		0.05(5)
	$\frac{5}{2}^- \rightarrow \frac{3}{2}^-$	0.036(16)	0.033(36)
	$\frac{5}{2}^- \rightarrow \frac{5}{2}^-$	0.10(4)	0.07(4)
	$\frac{7}{2}^- \rightarrow \frac{5}{2}^-$		0.22(3)
	$\frac{7}{2}^- \rightarrow \frac{7}{2}^-$		

The LO B(M1) values for phonon-annihilating transitions are described in terms of two LECs

The LO B(M1) values are in agreement with the scarce experimental data



LO $B(E2)$ values in the $^{62}\text{Zn}/^{61}\text{Cu}$ system [W. u.]

Nucleus	$I N J \rightarrow I N J$	$B(E2)_{\text{exp}}$	$B(E2)_{\text{EFT}}$
^{62}Zn	$2 1 2 \rightarrow 0 0 0$	17(1)	13(4)
	$0 2 0 \rightarrow 2 1 2$		25(8)
	$2 2 2 \rightarrow 2 1 2$	18(4)	25(8)
	$4 2 4 \rightarrow 2 1 2$	26(12)	25(8)
^{61}Cu	$\frac{1}{2} 1 2 \rightarrow 0 0 0$		13(4)
	$\frac{3}{2} 1 2 \rightarrow 0 0 0$	1(1)	13(4)
	$\frac{5}{2} 1 2 \rightarrow 1 2$	0(4)	0(1)
	$\frac{7}{2} 1 2 \rightarrow 0 0 0$	7(2)	13(4)
	$\frac{9}{2} 1 2 \rightarrow 1 2$	17(7)	9(1)
	$\frac{11}{2} 1 2 \rightarrow 0 0 0$	18(3)	13(4)
	$\frac{13}{2} 1 2 \rightarrow 1 2$	0(1)	3(1)
	$\frac{3}{2} 2 0 \rightarrow 1 2$		10(8)
	$\frac{5}{2} 2 0 \rightarrow 1 2$	0(0)	2(8)
	$\frac{7}{2} 2 2 \rightarrow 1 2$		18(8)
	$\frac{9}{2} 2 2 \rightarrow 1 2$	1(1)	0(8)
	$\frac{11}{2} 2 2 \rightarrow 1 2$	1(1) or 2(6)	14(8)
	$\frac{13}{2} 2 2 \rightarrow 1 2$		11(8)
	$\frac{15}{2} 2 2 \rightarrow 1 2$	24(12)	16(8)
	$\frac{17}{2} 2 2 \rightarrow 1 2$	1(0)	8(8)
	$\frac{5}{2} 2 4 \rightarrow 1 2$	3(1)	15(8)
	$\frac{7}{2} 2 4 \rightarrow 1 2$	0(0)	0(8)
	$\frac{9}{2} 2 4 \rightarrow 1 2$	0(0)	1(8)
	$\frac{11}{2} 2 4 \rightarrow 1 2$		16(8)
	$\frac{13}{2} 2 4 \rightarrow 1 2$	15(2)	20(8)
	$\frac{15}{2} 2 4 \rightarrow 1 2$	1(1)	5(8)
	$\frac{17}{2} 2 4 \rightarrow 1 2$	< 27	25(8)

The spectra and electromagnetic properties of heavy nuclei were studied within an effective field theory approach

The systematic construction of the operators allows for the estimation of:

- the scale of the LECs that must be fitted to experimental data and
- theoretical uncertainties.

Deformed nuclei

Spectra and $B(E2)$ values for decays within the ground-state rotational band are consistent with data below the breakdown scale even in transitional nuclei

$B(E2)$ values for decays between states in different bands are reproduced for LECs of natural size

Spherical nuclei

Anharmonicities in the spectra and static $E2$ moments in these systems scale as expected based on the power counting

$E2$ and $M1$ observables are reproduced within the EFT

Relations between observables in the even-even and odd-mass systems are fulfilled within theoretical uncertainties



Thanks